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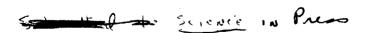
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GLOBAL ATMOSPHERIC CONSEQUENCES OF NUCLEAR WAR

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Abstract

We investigate the global atmospheric and climatic consequences of nuclear war for a wide range of detonation yields and scenarios, and for variations of uncertain physical parameters over their plausible ranges of values. Significant hemispherical (and possibly global) attenuation of the solar radiation flux (to less than ten percent of ambient for several weeks) and cooling of continental land areas (by 30 K or more for 1 to 2 months) may be caused by smoke generated in city and forest fires ignited by airbursts of all yields, and by fine dust raised in high-yield surface bursts. A limited number of nuclear explosions around urban centers, amounting to only ~ 100 megatons of total yield, can create smoke optical depths > 1 for weeks and, even in summer, subfreezing land temperatures for months. In most of the simulated exchanges involving several thousand megatons, in which both dust and smoke are generated, light levels are initially reduced to a few percent of ambient, and temperature minima are \leq 250 K. Radioactive fallout on intermediate timescales (days to weeks) can lead to mean doses of ionizing radiation (from external plus internal emitters) of 100 rads or more at Northern midlatitudes. Large horizontal temperature gradients caused by absorption of sunlight in nuclear dust and smoke clouds may greatly accelerate transport of particulates and radioactivity from the Northern to the Southern Hemisphere. When combined with the prompt destruction from nuclear blast, fires and fallout, and the delayed enhancement of solar ultraviolet radiation due to ozone depletion, exposure to the cold, dark and radioactivity may pose serious threats to the global ecosystem.

Introduction

Concern has been raised over the short- and long-term consequences of the dusk, smoke, radioactivity and toxic vapors generated by a nuclear "war" (1-7). The discovery that dense clouds of soil particles may have played a major role in past mass extinctions of life on Earth (8-10) has encouraged our reconsideration of nuclear war effects. It has also been suggested that massive fires ignited by nuclear explosions could generate immense quantities of sooty smoke, which attenuates sunlight strongly (7). These recent developments have led us to calculate, using new data and improved models, the climatic effects of dust and smoke clouds (henceforth, nuclear dust and nuclear smoke) generated in a nuclear war (11). After a full exchange, thousands of such clouds, produced by individual explosions and merging with one another, could blanket northern midlatitudes in days, altering the atmospheric radiation balance and eventually perturbing the circulation and climate on a global scale. Possible rapid transfer of tropospheric and stratospheric dust and smoke from the Northern to the Southern Hemisphere, ignored in previous investigations, could involve the entire globe in the aftereffects.

Below, we discuss the long-term impacts of nuclear warfare, but deliberately neglect the short-term effects of blast, fire and radiation, which would surely represent, by themselves, an unprecedented human catastrophe (12-14). However, most of the world's population could survive the initial nuclear exchange, and would inherit the post-war environment. Accordingly, the global-scale aftereffects of

nuclear war might prove to be as important as, or more important than, the conflict itself.

To study these phenomena, we employ a series of physical models: a nuclear scenario model, a particle microphysics model, and a radiative-convective model. The nuclear scenario model calculates the altitude-dependent dust, radioactivity, smoke and NO_{X} injections for each explosion in a nuclear exchange (given the size, number and types of detonations, including heights of burst, geographical locales and fission yield fractions). The source model parameterization is discussed below, and in a detailed report (15). The one-dimensional microphysical model (15-17) predicts the time evolution of horizontally-dispersed dust and smoke clouds. The radiative-convective model utilizes the predicted dust and smoke particle size distributions and optical constants, and Mie theory to calculate visible and infrared optical properties, light fluxes, and air temperatures as a function of time and height. The techniques used in these calculations are also well-documented (15-18).

Scenarios

We have reviewed the world's nuclear arsenals (19-23). The primary strategic and theater weapons amount to \sim 12,000 megatons (MT) of yield carried by \sim 17,000 warheads. While the total number of high-yield warheads is declining with time, roughly 7,000 MT may still be accounted for by warheads \gtrsim 1 MT. These awesome arsenals are roughly equivalent in explosive power to one million Hiroshima bombs. There are also \sim 20,000 lower yield tactical warheads and

munitions which are ignored in this analysis. Scenarios for the possible use of nuclear weapons are complex and controversial. Historically, studies of the long-term effects of nuclear war have focused on a full-scale exchange in the range of 5,000 to 10,000 MT (2, 12, 19). Such exchanges are possible, given the current arsenals, and the unpredictable nature of warfare, particularly nuclear warfare, in which escalating massive exchanges are likely (24).

An outline of the scenarios adopted here is presented in Table 1. The baseline scenario assumes a net exchange of 5,000 MT. Other cases span a range of total yield from 100 to 25,000 MT. Many high-priority military and industrial assets are located near or within urban zones (25). Accordingly, a modest fraction of the total yield is assigned to urban/industrial targets. Owing to the large yields of strategic warheads (\geq 100 kilotons, KT), "surgical" strikes against individual targets are difficult; e.g., a 100 KT airburst can level and burn an area of \sim 50 km², and a 1 MT burst, ten times that area (26, 27).

The properties of nuclear dust and smoke are critical to the present analysis. The basic parameterizations are described in Tables 2a and b, respectively. For each explosion scenario, the fundamental quantities which must be known to make optical and climate predictions are the total atmospheric injections of fine dust (\leq 10 μ m radius) and soot.

Nuclear explosions at or near the ground can generate fine particles by several mechanisms (26): (i) ejection and disaggregation

of soil particles (28); (ii) vaporization and renucleation of earth and rock (29); and (iii) blowoff and sweepup of surface dust and smoke (30). Analyses of nuclear test data indicate that roughly 1-6 x 10⁵ tons of dust per megaton of explosive yield are held in the stabilized clouds of land surface detonations (31). Moreover, size analysis of dust samples collected in nuclear clouds indicates a substantial submicron fraction (32). The data suggest that nuclear surface detonations may be much more efficient in generating fine dust than volcanic eruptions (15), which have been used inappropriately in the past to calibrate the impacts of nuclear war (2).

The intense light emitted by a nuclear fireball is sufficient to ignite flammable materials over a wide area (26). The explosions over Hiroshima and Nagasaki both initiated massive conflagrations (33). In each city, the region heavily damaged by blast was also consumed by fire (34). Assessments carried out over the past two decades strongly suggest that widespread fires would occur following most nuclear bursts over forests and cities (35-41). The Northern Hemisphere has $\approx 4 \times 10^7 \text{ km}^2$ of forest land, and holds an average \approx 2.2 g/cm² of fuel (7). The world's urban and suburban zones cover an area $\approx 1.5 \times 10^6 \text{ km}^2$, with an average fuel burden \approx 2-5 g/cm² (15); central cities, which occupy an area \approx 50,000 km^2 , have fuel loads $\approx 10-40 \text{ g/cm}^2$ (39, 40, 42, 43). The smoke emissions of wildfires and large-scale urban fires probably lie in the range \approx 2-8% by mass of the fuel burned (44). The highlyabsorbing sooty fraction (principally graphitic carbon) of the smoke could vary from 5-30% by weight (45, 46). In wildfires, and probably in urban fires, \gtrsim 90% of the smoke mass consists of particles < 1 μm in radius (47). For optical calculations, smoke particles were assigned an imaginary part of the refractive index of 0.3 (48).

A number of calculations were made to test the sensitivity of the results to the choices of the physical parameters. These included the nuclear dust size distribution function, the total dust lofted, smoke emission fractions, fire plume heights, aerosol optical constants, particle agglomeration rates and rainout lifetimes, and cloud dispersal rates. The most significant findings of the sensitivity analysis are summarized below.

Simulations

The model predictions discussed here generally represent effects averaged over the Northern Hemisphere (N.H.). The initial nuclear explosions and fires would be largely confined to northern midlatitudes ($\sim 30\,^\circ$ N to $60\,^\circ$ N) (49). Zonal spreading following a massive exchange might occur within a few days, especially since the dust and smoke clouds would initially be widely distributed in longitude (15). Accordingly, the predicted dust and smoke opacity could be larger by a factor of 2 to 3 at midlatitudes, but smaller elsewhere. The hemispherically-averaged visible wavelength extinction optical depths (50) of the mixed nuclear dust and smoke clouds corresponding to the scenarios listed in Table 1 are shown in Figure 1. For the purpose of discussion, the vertical optical depth provides a convenient diagnostic of cloud properties, which may be

used roughly to scale atmospheric light levels and temperatures, for the various scenarios.

In the baseline scenario (Case 1, 5,000 MT), the initial optical depth is \sim 4, of which \sim 1 is due to stratospheric dust and \sim 3 to tropospheric smoke. After 1 month the optical depth is still \sim 2. Beyond 2-3 months, dust dominates the optical effects, as the soot is largely depleted by rainout and washout (52). In the baseline model, about one-third of the world's urbanized land area, or \sim 480,000 km², is partially burned by \sim 1,000 MT of explosions (which represents just 20% of the net exchange yield). The mean quantity of fuel consumed over this area is $\approx 1.9 \text{ g/cm}^2$, compared to $\approx 2-5 \text{ g/cm}^2$ in residential areas and $\approx 10-40 \text{ g/cm}^2$ in city centers (15, 42). Wildfires ignited by the remaining 4,000 MT of yield are assumed to burn another 1 x 10^6 km² of forest, brush, and grasslands (7, 37), consuming $\approx 0.5 \text{ g/cm}^2$ of fuel in the process (7). The total smoke emission in the baseline model is \sim 450 million tons (released over several days); by comparison, current global smoke emissions (released over one year) are estimated as \sim 200 million tons (15).

The optical depth simulations for Cases 1, 2, 9 and 10 in Figure 1 show that a range of exchanges between 3,000 and 10,000 MT might cause nearly the same effects. Even Cases 11, 12 and 13, while less severe in their absolute impact, produce optical depths comparable to or exceeding those of a major volcanic eruption. It is noteworthy that eruptions such as Tambora in 1815 may have produced historically significant climate perturbations (53-55).

Unexpectedly, Case 14, a limited 100 MT airburst attack over cities, generates large smoke opacities over at least a hemisphere. In this scenario, the cores of \sim 200 cities are partially burned. Most large cities hold important strategic targets, which suggests that, in any nuclear exchange, a substantial number of explosions would occur in urban settings where combustible materials are concentrated. Strategic warheads targeted on cities lie in the \gtrsim 100 KT range, and are capable of igniting fires over large areas adjacent to targets. These facts imply a relatively low threshold for major optical and climatic consequences in a nuclear war.

Figure 2 gives the surface temperature perturbation over land calculated from the dust and smoke optical depths for several exchange scenarios. Most striking are the extremely low temperatures occurring within 3-4 weeks after a major exchange. In the baseline 5,000 MT model, a minimum temperature of \approx 250 K (-23°C) is predicted after 3 weeks. Subfreezing temperatures persist for several months. Among the cases shown, even the smallest temperature decreases are ≤ 5-10°C (Cases 4, 11 and 12). Since average surface temperature changes of only 1°C are considered significant (56), severe climatological consequences might be expected in each of these cases. Even the 100 MT city airburst scenario (Case 14) produces a two-month interval of subfreezing land temperatures, with a minimum again near 250 K. The temperature recovery in this instance is hastened by the absorption of sunlight in optically thin late soot clouds (see below). Comparable exchanges with and without smoke emission (e.g., Cases 10 and 11) show that the tropospheric soot

layers cause a sudden surface cooling of short duration, while fine stratospheric dust is responsible for prolonged cooling lasting a year or more.

In all cases considered, nuclear dust acts to cool the Earth's surface. Soot also tends to cool the surface except when the soot cloud is both optically thin and located near the surface [an unimportant case because only relatively small transient warmings < 2K can thereby be achieved (57)].

The predicted temperature variations of the world's oceans due to radiative transport alone are always small (\lesssim 3 K cooling), owing to the great heat capacity and rapid mxiing of surface waters. However, changes in the atmospheric zonal circulation patterns (see below), might significantly alter ocean currents and upwelling, as has occurred on a smaller scale recently in the Eastern Pacific ("El Niño"). The oceanic heat reservoir would also moderate the predicted continental land temperature decreases, particularly in coastal regions (10). The effect on interior contintental temperatures is difficult to assess due to possible changes in atmospheric circulation patterns.

The predicted temperature changes (from ambient values) for the baseline nuclear exchange are illustrated as a function of time and altitude in Figure 3. The dominant features of the temperature perturbation are a large warming (up to 80 K) occurring in the lower stratosphere and upper troposphere and a large cooling (up to 40 K) of the surface and lower troposphere. The warming is caused by the absorption of solar radiation in the upper-level dust and smoke

clouds, and persists for an extended period due to the long residence time of the particles at great elevations. The strength of the warming is due both to the low thermal inertia of the atmosphere (because of its low density) and the initially cold temperatures at high altitudes. The low-level cooling is the result of the attenuation of the incident solar radiation by the aerosol clouds (see Figure 4) during the early period of the simulation. No greenhouse effect occurs, because the solar energy is deposited above the height at which infrared energy is radiated to space.

The present results do not reflect the strong coupling between the modified heating/cooling rates computed here and the bulk atmospheric motions. Global circulation patterns would probably be altered in response to such large disturbances in the driving forces. However, the prediction of circulation anomalies is beyond the capability of our 1-d radiative-convective model.

Decreases in insolation for several nuclear war scenarios are given in Figure 4. The baseline case implies average solar fluxes at the ground < 10% of normal values for several weeks. In addition to causing the temperature declines discussed above, the attenuated insolation could affect plant growth rates, and vigor in the marine (58), littoral and terrestrial food chains. In the 10,000 MT "severe" case, average light levels are below the minimum required for photosynthesis for about 40 days over much of the N.H. In a number of other cases, insolation may, for more than two months, fall below the compensation point, at which photosynthesis is insufficient to maintain plant metabolisn. Because the nuclear clouds are likely

to remain patchy the first few weeks after an exchange, the leakage of sunlight through holes in the clouds could enhance the levels of plant growth activity above those predicted for average cloud conditions.

Sensitivity Tests

Figure 5 shows the effects of variations in the nuclear dust parameters on the baseline dust cloud opacity (with soot excluded). The initial dust optical depths vary from $\cong 0.2$ to $\cong 3$. Nuclear dust alone could produce a significant climatological excursion, particularly if the submicron component is as much as 20-50 tons/KT. However, if the submicron particles comprise < 10 tons/KT, the dust optical effects would be no worse than for a major volcanic eruption. In the baseline case, the dust opacity is much greater than the opacity associated with the El Chichon and Agung eruptions (18, 59).

Figure 6 compares cloud optical depths for several variations of the baseline model smoke parameters (with dust included). In the baseline case, it is assumed that firestorms inject only a small fraction (\sim 5%) of the total smoke emission into the stratosphere (60). Thus, Case 1 and Case 3 (no firestorms) are very similar. However, if all the smoke is injected into the stratosphere and rapidly dispersed around the globe, Case 26 shows that large optical depths might endure for a year (Figure 6). A prolongation of optical effects is also obtained in Case 22, where the tropospheric washout lifetime of smoke particles is increased from 10 to 30 days near the

ground. By contrast, when the nuclear smoke is initially contained near the ground, and the dynamical and hydrological processes are assumed to be unperturbed, smoke removal is much faster (Case 25). Some of the smoke still diffuses to the upper troposphere and remains there for several months (61).

If the smoke is composed mainly of oily compounds, the particle density may be closer to 1 g/cm³ than to the baseline value of 2 g/cm³ (Ref. 48). Case 28 in Figure 6 shows that the smoke opacity is roughly doubled when the lower density is used (i.e., for a fixed smoke mass emission and size distribution, the particle number density and optical depth vary inversely with the bulk density). On the other hand, the optical depths calculated for smoke imaginary refractive indices between 0.1 and 0.3 show virtually no differences (Cases 1 and 27). In other calculations, the imaginary refractive index was allowed to vary between 0.3 and 0.01. At a value of 0.05, the absorption optical depth (50) was reduced by only = 50%, and at a value of 0.01, by = 85%. The overall extinction, moreover, increased by = 5%. These results demonstrate that light absorption and heating rates in the nuclear smoke clouds are not very sensitive to graphitic carbon fractions larger than a few percent.

One sensitivity test (Case 29, not illustrated) considers the optical effects in the Southern Hemisphere (S.H.) of soot and dust transported from the N.H. stratosphere. In this calculation, the smoke in the 300 MT S.H. Case 13 is combined with half the baseline stratospheric dust and smoke (to approximate rapid global dispersion in the stratosphere). The initial optical depth is = 1 over the

S.H., dropping to about 0.3 in 3 months. The predicted average S.H. continental surface temperatures fall by 8 K within several weeks and remain at least 4 K below normal for nearly 8 months. The seasonal influence should be taken into account, however. For example, the worst consequences for the N.H. might result from a spring or summer exchange, when crops are vulnerable and fire hazards are greatest. The S.H., in its fall or winter, might then be least sensitive to cooling and darkening. Nevertheless, the implications of this scenario for the tropical regions in both hemispheres would appear to be serious, and worthy of further analysis. Seasonal factors can also modulate the atmospheric response to perturbations by smoke and dust, and should be considered.

A number of sensitivity tests for more "severe" cases were run with scenario yields ranging from 1,000 to 10,000 MT and smoke and dust parameters assigned larger, but not implausible, values. The predicted effects are clearly substantially worse. The lower probabilities of these assigned parameter values must be weighed against the catastrophic outcomes which they imply. Prudent policy would calibrate the importance of these scenarios as the product of their probabilities and the costs of their corresponding effects. Unfortunately, we are unable to give an accurate quantitative estimate of the relevant probabilities. By their very nature, however, the severe cases may be the most important to consider in the deployment and use of nuclear weapons.

With these reservations in mind, we present the optical depths for some of the more "severe" cases in Figure 7. Large opacities can

persist for a year, and land surface temperatures can fall to 230-240 K, or about 50 K below normal. Combined with low light levels (Figure 4), these scenarios raise the possibility of catastrophic widespread ecological consequences.

Two sensitivity tests were run to determine roughly the implications for optical properties of aerosol agglomeration in the early expanding clouds (the simulations already take into account the continuous coagulation of the particles in the dispersed clouds). Very slow dispersion of the initial stabilized dust and smoke clouds over the N.H., taking nearly 8 months, was assumed. Coagulation of particles reduced the average opacity after 3 months by about 40%. When the adhesion efficiency of the colliding particles was also maximized, the average opacity after 3 months was reduced by \sim 75%. In the most likely situation, however, prompt agglomeration and coagulation might reduce the average hemispherical cloud optical depths by 20-50%.

Other Effects

We have also considered, in a less rigorous manner, the long-term effects of radioactive fallout, fireball-generated NO_X , and pyrogenic toxic gases (15). The physics of radioactive fallout is well known (2,5,12,26,62). The present calculations bear primarily on the widespread accumulation of intermediate-timescale fallout due to dry deposition and washout of dispersed nuclear dust (63). Predicted average chronic whole-body gamma ray doses for the baseline scenario (5000 MT) are illustrated in Figure 8. For

exposure only to the radioactive dust which begins to fall out after a few days, the hemisphere-average total dose accumulated over several months would be \sim 20 rad. Fallout during this time would be confined largely to northern midlatitudes; hence the dose there could be \sim 2-3 times as large (64). Considering the accompanying ingestion of biologically active radionuclides (26, 65) and occasional exposure to localized fallout, we estimate an average chronic midlatitude dose of ionizing radiation for the baseline case \gtrsim 100 rad (66). In a 10,000 MT exchange, this mean dose would be doubled.

The stratospheric nuclear-NO_Y/ozone problem has been treated in a number of studies (2-4, 7, 67). The present calculations, using updated chemical rate coefficients, give roughly the same results as these earlier investigations (15). Accordingly, detailed results are not reviewed here. In Figure 9, however, nuclear-NO_x injection profiles are shown for several war scenarios. Owing to the rather direct dependence of ozone depletion on stratospheric NO_{x} injection, a high sensitivity of the ozone perturbation to the scenario may be inferred. In the baseline case, a maximum hemispherically-averaged ozone reduction of \sim 30% is found. However, this would be substantially smaller is most yields of individual warheads were < 1 MT. Considering the relationship between solar UV-B radiation increases and ozone decreases (68), UV-B doses roughly twice normal are expected in the first year following a baseline exchange (after the dust and soot had dissipated). Larger UV-B effects could accompany exchanges involving warheads of larger yields (or large multiburst laydowns).

A variety of toxic gases would be generated in large quantities by nuclear fires, including CO and HCN. According to Crutzen and Birks (7), heavy air pollution, including elevated ozone concentrations, could blanket the N.H. for several months. We are also concerned about dioxins and furans, extremely persistent and toxic compounds released during the combustion of widely-used synthetic organic chemicals (69). Hundreds of tons of dioxins and furans could be generated during a nuclear exchange (70). The long-term ecological consequences of these compounds also seems worthy of future consideration.

Meteorological Perturbations

Thick clouds of nuclear dust and smoke can cause significant climatic perturbations, and related effects, through a variety of mechanisms: reflection of solar radiation to space leading to an overall surface cooling; modification of solar absorption and heating patterns which drive the atmospheric circulation on small scales (71) and large scales (72); introduction of numerous cloud condensation nuclei which affect the formation of clouds and precipitation (73); and alteration of the surface albedo by fires and soot (74). These effects are closely coupled in determining the overall response of the atmosphere to nuclear war (75). It seems very difficult at present to forecast in any detail the changes in coupled atmospheric circulation and radiation fields, and in weather and microclimates, for the massive dust and smoke injections treated here. Hence, speculation must be limited to the most general considerations.

Water evaporation from the oceans implies a continuing source of moisture for the marine boundary layer. A heavy semi-permanent fog or haze layer might blanket large bodies of water. The consequences for marine precipitation are unclear, particularly if the normal prevailing winds are greatly modified by the perturbed solar driving force. Cold air from the continents might circulate as offshore winds in coastal regions, causing heavy precipitation in these areas, and inducing a return flow aloft, possibly containing substantial amounts of condensed water. Certain continental zones might be subject to continuous snowfall for several months (10). Precipitation can lead to soot removal, although this process may not be very efficient for nuclear clouds (71, 73). It is likely that, on average, precipitation rates would be generally smaller than in the ambient atmosphere; the major remaining energy source available for storm genesis is the latent heat from ocean evaporation.

Despite possible heavy snowfalls, it is improbable that an Ice Age would be triggered by a nuclear war. The period of cooling ($\lesssim 1$ year) is in all likelihood too short to overcome the considerable inertia in the Earth's climate system. The oceanic heat reservoir would probably force the climate toward contemporary norms in the years following a war. The CO₂ input from nuclear fires is not significant climatologically (7).

Interhemispheric Transport

Earlier studies assumed that significant interhemispheric transfer of nuclear debris and radioactivity requires a year or

more (2). This perception was based on observations of transport under ambient conditions, including the dispersion of debris clouds from atmospheric nuclear weapons tests. However, with dense clouds of dust and smoke, as described above, massive dynamical disturbances would be expected in the aftermath of a nuclear war. A rough analogy can be drawn with the evolution of global-scale dust storms on Mars. The lower Martian atmosphere is similar in density to the Earth's stratosphere, and the period of rotation is almost identical to the Earth's. Dust storms that develop in one hemisphere on Mars often rapidly intensify and spread over the entire planet, crossing the equator in a mean time = 10 days (15, 76, 77). The explanation apparently lies in the heating of the dust aloft, which then dominates other heat sources and drives the circulation. Haberle et al. (76) simulated the evolution of Martian dust storms and found that dust at low latitudes, in the core of the Hadley circulation. is the most critical in modifying the winds. In a nuclear exchange, most of the dust and smoke would be injected at middle latitudes. However, Haberle et al. (76) did not include planetary-scale waves in their calculations. Perturbations of planetary wave amplitudes may be critical in the transport of nuclear war debris between middle and low latitudes.

There appear to be four distinct mechanisms which could produce significant atmospheric effects in the S.H.: (i) Direct soot injection following targeting of S.H. cities by as few as 100 MT (e.g., Case 14, Figures 1, 2 and 4). (ii) Normal tropospheric wind patterns. Because the "meteorological equator" lies near 5°N

latitude, some of the debris from nuclear explosions below 20-30°N (e.g., in India and South China) could be carried into the S.H., particularly in the monsoon season (78). (iii) Normal interhemispheric exchange of stratospheric air. For example, photometric observations of the El Chichon volcanic cloud (origin, 14°N) by the Solar Mesospheric Explorer satellite show that perhaps 10-20% of the aerosol material had been transported to the S.H. after 7 weeks (79). (iv) Unprecedented tropospheric and stratospheric transport driven by temperature gradients between the hot, dust- and soot-laden N.H. upper atmosphere and the cold, initially-clear, S.H. upper atmosphere.

Resolution of the problem of accelerated interhemispheric transport following a large nuclear exchange must await, at the least, more detailed general circulation model calculations. The response of the atmosphere could turn out to be sensitive to the quantities, altitudes, and geographical loctions of the dust and smoke injections, which are uncertain. The implications of rapid interhemispheric transport are serious. If global-scale mixing can occur within a few weeks, the S.H. could be subjected to severe environmental stresses even in the absence of a direct attack.

Conclusions

The studies outlined here suggest severe long-term climatic effects from a paroxysmal 5000 MT nuclear exchange. Despite uncertainties in the amounts and properties of the dust and smoke.

produced by nuclear detonations, the following tentative conclusions may be drawn:

- 1. Contrary to the findings of earlier studies [e.g., (2)], global nuclear war might have a major impact on climate -- manifested by an unbroken overcast for many weeks, subfreezing land temperatures persisting for several months, large perturbations in global circulation patterns, and dramatic changes in local weather and precipitation rates -- a harsh "nuclear winter" in any season. Greatly accelerated interhemispheric transport in the stratosphere might also occur, although modeling studies are needed to quantify the importance of this effect: Rapid interhemispheric mixing implies that the Southern Hemisphere could be subected to massive injections of nuclear debris soon after an exchange in the Northern Hemisphere. In apparently all previous studies, S.H. effects have been assumed to be minor. Although the climate disturbances are expected to last for several years, it seems unlikely (although still uncertain) that a major long-term climatic change, such as an Ice Age, would be triggered.
- 2. Relatively large climatic effects might result even from small nuclear exchanges (\sim 100 to 1000 MT), because fires can be initiated by nuclear weapons of any size, and as little as \sim 100 MT is sufficient to devastate most of the world's major urban centers. The existence of such a low threshold yield for massive smoke emissions implies that even limited nuclear exchanges could trigger severe aftereffects. It is much less likely that a 5,000-10,000 MT exchange would produce only relative minor effects.

- 3. The climatic impact of sooty smoke from nuclear fires ignited by airbursts is probably more important than dust raised by surface bursts (when both effects occur). Smoke is generally highly-absorbing, whereas soil dust is typically non-absorbing. Smoke particles are extremely small, which lengthens their atmospheric residence times. There is also a high probability that nuclear explosions over cities and forests will initiate widespread fires.
- 4. Smoke from urban fires may be more important than smoke from collateral forest fires for two reasons: (1) in a full-scale exchange, cities holding large stores of combustible materials would be attacked directly; and (2) intense firestorms could pump smoke into the upper atmosphere, where the residence time is a year or more.
- 5. Nuclear dust can also contribute to the climatic impact of a nuclear exchange. Previous analogies with volcanic eruptions have been misinterpreted. The dust-climate effect is very sensitive to the conduct of the war; a smaller effect is expected when lower yield weapons are deployed and air bursts dominate over surface land bursts. Multiburst phenomena might synergistically enhance nuclear dust climatic effects, but insufficient data are available to assess this problem.
- 6. Exposure to radioactive fallout may be more intense and widespread than is predicted by standard empirical exposure models, which neglect the intermediate fallout extending over many days and weeks, particularly when unprecedented quantities of fission debris

are released abruptly in the atmosphere. Average midlatitude whole-body gamma ray doses of 50-100 rad are possible in a 5000 MT exchange, with much larger doses within the fallout plumes of radioactive debris extending hundreds of kilometers downwind of targets.

7. Synergisms between long-term nuclear war stresses -- e.g., low light levels, subfreezing temperatures, exposure to intermediate timescale radioactive fallout, heavy air pollution, and UV-B flux enhancements -- aggravated by the destruction of medical facilities, food stores, and civil services, could lead to many additional fatalities, and could place the global ecosystem in serious jeopardy.

Our estimates of nuclear war effects are uncertain because the problem is too complex to resolve precisely with existing analytical tools, because the data base is incomplete, and because the problem is not amenable to experimental investigation. In particular, we are unable to forecast the detailed nature of the changes in atmospheric dynamics and meteorology implied by our nuclear war scenarios, nor the effect of such changes on the maintenance or dispersal of the initiating smoke and dust clouds. Nevertheless, the magnitudes of the first-order effects are so large, and the implications so serious, that we hope the scientific issues raised here will be vigorously and critically examined (79).

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- 28. A 1 MT surface explosion will eject ~ 5 x 10⁶ tons of debris, forming a large crater (Ref. 26). Typical soils consist of ≈ 5-25% by weight of grains < 1 μm radius (D'Almeida, G. A., and L. Schütz, J. Climate Appl. Meteorol., 22, 233, 1983; G. Rawson, private communication, 1983). The extent of disaggregation into parent grain sizes is probably < 10%, however (Pinnick, R. G., G. Fernandez and B. D. Hinds, Appl. Optics, 22, 95 1983), and would depend in part on soil moisture and compaction.
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- 30. A 1 MT surface explosion can raise significant quantities of dust over an area of $\gtrsim 100~\rm km^2$ by popcorning, due to thermal radiation, and by saltation, due to pressure winds and turbulence (Ref. 26). Much of the dust is sucked up in the afterwinds established by the rising fireball. Size sorting should favor the greatest lifting for the finest particles. The quantity of dust lofted would be sensitive to soil type, moisture and compactness, vegetative cover, and terrain. Probably $> 1 \times 10^5$ tons of dust can be incorporated into the stabilized clouds in this manner.

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- Smoke emission data for forest fires are reviewed by D. V. Sandberg, J. M. Pierovich, D. G. Fox and E. W. Ross, Effects of Fire on Air, Forest Service Tech. Rpt. WO-9 (1979). The largest emission factors occur in intense large-scale fires where smouldering and flaming conditions exist simultaneously, and the oxygen supply may be limited over part of the burning zone. Smoke emissions from urban fuels consisting of synthetic organic compounds would be larger than those from forest fuels (e.g., C. P. Bankston, B. T. Zinn, R. F. Browner and E. A. Powell, Comb. Flame, 41, 273, 1981).
- 45. Sooty smoke is a complex mixture of oils, tars and graphitic (or elemental) carbon. Measured benzene-soluble mass fractions of wildfire smokes fall in the range # 40-75% (Sandberg et al., Ref. 44). Most of the residue is likely to be brown to black (the color of smoke ranges from white, when large amounts of water vapor are present, to yellow or brown, when oils predominate, to gray or black, when elemental carbon is a major component).
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- generated by a large number of samples varied from $\approx 0.1-1.5 \text{ m}^2$ per gram of fuel burned.
- 47. In wildfires, the particle number mode radius is typically ~ 0.05 μm (Sandberg et al., see Ref. 44). For burning synthetics, the number mode radius can be substantially greater, but a reasonable average value is ~ 0.1 μm (e.g., C. P. Bankston, B. T. Zinn, R. F. Browner and E. A. Powell, Comb. Flame, 41, 273, 1981). Often, larger debris particles and firebrands are swept up by powerful fire winds, but these have short atmospheric residence times and are not included in the present estimates (C. K. McMahon and P. W. Ryan, Paper 76–2.3, 69th Annual Meeting, Air Pollution Control Assoc., Portland, Oregon, June 27–July 1, 1976). Nevertheless, because winds exceeding 100 km/hr may be generated in large-scale fires, significant quantities of fine noncombustible surface dust and explosion debris (e.g., pulverized plaster) might be lifted in addition to the smoke particles.
- 48. This assumes an average graphitic carbon fraction of about 15-30% (J. T. Twitty and J. A. Weinman, J. Appl. Meteorol., 10, 725, 1971; S. Chippett and W. A. Gray, Comb. Flame, 31, 149, 1978). The real part of the refractive index of pure carbon is 1.75, and of many oils, is 1.5. Smoke particles were assigned a density of 2 g/cm³; graphite has a density = 2.5 g/cm³, and most oils, < 1 g/cm³.

- 49. A number of targets with military, economic or political significance can also be identified in tropical northern latitudes and in the Southern Hemisphere (e.g., Ref. 19).
- 50. The direct attentuation (neglecting subsequent scattering) of sunlight by dust and smoke particles obeys the law, $I/I_0 =$ exp $(-\tau)$, where τ is the extinction optical depth due to photon scattering and absorption by the particles, and arccos μ_{O} in the solar zenith angle. The optical depth depends on the wavelength of the light and the size distribution and composition of the particles, and must generally be calculated using Mie theory (assuming equivalent spherical particles). The total light intensity (direct plus diffuse) depends on both the scattering and absorption optical depths (τ_s and τ_a , respectively). The maximum light intensity possible is $I_x = I_0 \exp(-\tau_a)$. The optical depth can be written as, $\tau = SML$, where S is the specific cross-section (m² per gram of particulate), M is the suspended particle mass concentration (g/m^3), and L is the path length (m). Fine dust and smoke have a S_s of $= 1-5 \text{ m}^2/\text{g}$ at visible wavelengths. However, Sa is very sensitive to the imaginary index of refrction. For typical soil particles, $S_a \lesssim$ 0.1 m²/g. For smokes, S_a can vary from \sim 0.1 to 10 m²/g, roughly in proportion to the fraction of elemental carbon (graphite) in the particles. Occasionally, specific extinction coefficients for smoke are stated relative to the mass of fuel burned; then S implicitly includes a multiplicative emission factor (grams of smoke generated per gram of fuel burned).

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- or increasing temperature with increasing height lying just above the troposphere. The residence time of fine particles in the stratosphere is considerably longer than in the upper troposphere due to the greater stability of the stratospheric air layers and the absence of precipitation in the stratosphere. With large smoke injections, however, the ambient temperature profile would be substantially distorted and a "stratosphere" might form in the vicinity of the smoke cloud, increasing its residence time at all altitudes (Ref. 15). Thus,

- the timescales for sunlight attenuation and temperature perturbations in Figures 1-7 may be considerably underestimated.
- 61. Transport of soot from the boundary layer into the overlying free troposphere can occur by diurnal expansion and contraction of the boundary layer, by large-scale advection, and by strong localized convection.
- 62. Barnaby, F., and J. Rotblatt, <u>Ambio</u>, 11, 84 (1982).
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generating massive quantities of soot which can settle out on plants, snow fields and ocean surface waters; and by altering the pattern and extent of ambient water clouds. The nuclear fires in the present model consume an area $= 1.5 \times 10^6 \text{ km}^2$, or only = 1% of the global landmass; it is doubtful that an albedo variation over such a limited area is significant. All of the soot in the baseline nuclear war model, if spread uniformly over the Earth, would amount to a layer $\sim 0.5~\mu\text{m}$ thick. Even if the soot settled out uniformly on all surfaces, the first rainfall would wash it into soils and watersheds. The question of the effect of soot on snow and ice fields is currently under debate (J. Birks, prvt. comm., 1983). In general, soot or sand accelerates the melting of snow and ice. Soot which settles into the oceans would be rapidly removed by nonselective filter-feeding plankton, if they survived the initial darkness.

75. In the present calculations, chemical changes in stratospheric 03 and NO₂ concentrations cause a very small temperature perturbation compared to that caused by nuclear dust and smoke; it seems unlikely that chemically-induced climatic disturbances would be a major factor in a nuclear war. Tropospheric ozone concentrations, if tripled (Ref. 7), would lead to a small greenhouse warming of the surface (W. C. Wang, Y. L. Yung, A. A. Lacis, T. Mo and J. E. Hansen, <u>Science</u>, <u>194</u>, 685, 1976). This might result in a more rapid surface temperature recovery. However, the tropospheric O3 increase is transient (~ 3 months

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FIGURE CAPTIONS

- Time-dependent hemispherically-averaged vertical optical depths (extinction = scattering plus absorption) of nuclear smoke and dust clouds at 550 nm wavelength. Optical depths ≤ 0.1 are negligible, ~ 1 are significant, and ~ 10 imply possible major consequences. The transmission of sunlight becomes highly nonlinear at optical depths ≥ 1. Results are given for several of the scenarios listed in Table 1. Calculated optical depths for the expanding El Chichon eruption cloud are offered for comparison (51).
- 2. Hemispherically-averaged surface temperature variations following a nuclear exchange. Results are shown for several of the scenarios in Table 1. (Note the linear timescale, unlike that in Figure 1). The temperatures generally apply to the interior of continental land masses. In Cases 4 and 11, fires are neglected. In the other cases, smoke contributes to the temperature effect in varying degrees.
- 3. Northern Hemisphere troposphere and stratosphere temperature perturbations in K° (or in C°) following the baseline nuclear exchange (Case 1). The cross-hatched area indicates cooling. Pressures are given in millibars.
- 4. Solar energy fluxes at the ground over the Northern Hemisphere in the aftermath of a nuclear exchange. Results are given for several of the scenarios in Table 1. (Note the linear timescale.) The solar fluxes are averaged over the diurnal cycle and over the hemisphere. Cases 4 and 16 neglect fires.

 Also indicated on the figure are the approximate flux levels at

- which photosynthesis cannot keep pace with plant respiration (compensation point), and at which photosynthesis ceases. These limits vary for different species.
- 5. Time-dependent vertical optical depths (absorption plus scattering at 550 nm) of nuclear <u>dust</u> clouds, in a sensitivity analysis. The optical depths are average values for the Northern Hemisphere. The cases shown are: 4, baseline dust only, 3.3×10^5 tons/MT for surface bursts, size distribution as described in (32); 5, high dust loading, 1×10^6 tons/MT; 6, low dust loading, 1×10^5 tons/MT; 7, coarse dust, mode radius ~ 1.0 um; 8, fine dust, mode radius ~ 1.0 um.
- 5. Time-dependent vertical optical depths (absorption plus scattering at 550 nm) of nuclear smoke clouds, in a sensitivity analysis. The optical depths are average values for the Northern Hemisphere. All cases shown correspond to parameter variations of the baseline model and all include dust appropriate to that case (Case 1): 3, no firestorms; 4 no fires; 22, smoke rainout rate decreased by a factor of 3; 25, smoke initially confined to the lowest 3 km of the atmosphere; 26, smoke initially distributed between 13 and 19 km over the entire globe; 27, smoke imaginary part of refractive index reduced from 0.3 to 0.1; 28, smoke material density reduced from 2 g/cm³ to 1 g/cm³. In Case 4, only dust from the baseline case is considered (fires are ignored) for comparison.
- 7. Time-dependent vertical optical depths (absorption plus scattering at 550 nm) for more severe cases of explosion yield for nuclear dust and smoke production. The conditions are detailed in (15). The yield inventories are identical to the

nominal cases of the same total yield described in Table 1 (Cases 16 and 18 are listed there). The "severe" cases generally include a sixfold increase in the fine dust injection, and a doubling of the smoke emission. In Cases 15, 17 and 18, smoke causes most of the opacity during the first 1-2 months. In Cases 17 and 18, dust makes a major contribution to the optical effects beyond 1-2 months. In Case 16, fires are neglected and dust from surface bursts produces all of the opacity.

- 8. Hemispherically-averaged nuclear radiation doses associated with fallout in the baseline model (Case 1). The curves correspond to the whole-body gamma ray doses received after a specific initial time (abscissa) in a region where fallout began at a specific earlier time (individual curves). The dashed line defines the maximum dose possible as a function of the initial fallout time. For each curve, the exposure to fallout on the ground is assumed to continue indefinitely.
- 9. Nuclear NO_X injections for several exchange scenarios. The injections are given as tons of NO per 2-km altitude interval. As a very rough conversion, each 1 x 10^6 tons of stratospheric NO injection increases UV-B radiation exposures at the ground by 2-4% (68). Thus, the baseline case corresponds to a 40 to 80% increment in UV-B fluxes, and Case 18 corresponds to an increment by factors of 2 to 4.

TABLE 1. NUCLEAR EXCHANGE SCENARIOS

Case(1)		Total Yield (MT)	% Yield Surface Bursts	% Yield Urban or Industrial Targets	Warhead Yield Range (MT)	Total Number of Explosions
1.	Baseline exchange	5,000	57	20	0.1-10	10,400
2.	Low yield airbursts	5,000	10	33	0.1-1	22,500(2)
9.	10,000 MT maximum	10,000	63	15	0.1-10	16,160
10.	3,000 MT exchange	3,000	50	25	0.3-5	5,433
11.	3,000 MT counterforce	3,000	50	0	1-10	2,250
12.	1,000 MT exchange(3)	1,000	50	25	0.2-1	2,250
13.	300 MT Southern Hemisphere(4)	300	0	50	1.	300
14.	100 MT city attack(5)	100	0	100	0.1	1,000
16.	Silos, "severe" case(6)	5,000	100	0	5-10	700
18.	25,000 MT "future war"	25,000	72	10	0.1-10	28,300(2)

⁽¹⁾ The case numbers correspond to a complete list given in Ref. 15. The detailed detonation inventories are not reproduced here.

Assumes more extensive MIRVing of existing missiles and some possible new

deployment of medium- and long-range missiles (19-22).

(3) The nominal area of wildfires is reduced from 1 x 10⁶ km² to 1 x 10⁵ km².

(4) The nominal area of wildfires is reduced from 1 x 10⁶ km² to 1 x 10⁴ km².

(5) Urban fuel burdens range from 5-20 g/cm², and fire smoke emissions (per gram of fuel burned) are 7% compared to 5% in the baseline case. No wildfires are included.

⁽⁶⁾ A sixfold increase in the fine dust mass lofted per megaton yield is included.

TABLE 2a. DUST PARAMETERIZATION FOR THE BASELINE CASE

Materials in stabilized nuclear explosion clouds (1)

Type of Burst	Dust Mass (tons/MT)	Dust Size Distribution(2) r _m (μm)/σ/α	H ₂ 0 (tons/MT)
Land surface	3.3 x 10 ⁵	0.25/2.0/4.0	1.0 x 10 ⁵
Land near-surface	1.0×10^5	0.25/2.0/4.0	1.0×10^{5}
Low airburst(3)	1.0×10^4	0.25/2.5/	1.0×10^4
High airburst	10	0.10/2.0/	3.0×10^3
Water surface	0		1.0 x 106
Water near-surface	0		1.0 x 106

Dust composition: siliceous minerals and glasses

Index of refraction at visible wavelengths: (4) $n_d = 1.50 - 0.001$ i

Stabilized nuclear cloud top and bottom heights (z_t and z_b , respectively, in kilometers) for surface and low-air bursts:(5)

$$z_t = (z_T - 13) + 21 \text{ y}^{0.2}; z_b = (z_T - 13) + 13 \text{ y}^{0.2};$$

zT is the tropopause height (\tilde{z} 13 km average); Y is the yield in megatons. Multiburst interactions are ignored.

Baseline Dust Injections

Total dust = 9.6 x 10^8 tons; 80% in the stratosphere; 8.4% < 1 μm radius

The submicron dust injection is \sim 25 tons/KT for surface bursts, which represents \sim 0.5% of the total ejecta mass.

Total initial area of stabilized fireballs = $2.0 \times 10^6 \text{ km}^2$.

(1) Materials are assumed to be uniformly distributed in the clouds.

(3) The dust mass could vary from only ~ 10 tons/MT of bomb casing smoke to \sim 1 x 10^4 tons/MT of soil dust, depending on the height of burst.

(4) The refractive indices of dust at infrared wavelengths are discussed in (10).

(5) The model of H. M. Foley and M. A. Ruterman (<u>J. Geophys. Res.</u>, <u>78</u>, 4441, 1973) is adopted.

⁽²⁾ Size distributions are log-normal with a power tail at large sizes. The parameters r_m and σ are the log-normal mode size and width, respectively, and α is the exponent of the $r^{-\alpha}$ dependence at large sizes. The log-normal and power law distributions are connected at a radius of α 1 μ m (15).

Fire Area and Emissions

Area of urban fire ignition determined by thermal irradiation (Q_0) , exceeding 10 cal/cm² assuming an average atmospheric transmittance of 50%:

$$A_f(km^2) = 5 \times 10^3(Y/Q_0) - \pi h_b^2$$

Y = yield in megatons; h_b = height of burst (km).

 A_f cannot exceed 1.5 x 10^6 km²; overlap of fire zones is ignored.

Urban fuel burden is 3-10 g/cm².

Effective smoke emission factor is \sim 0.04 per g fuel burned.

Area of wildfires is 1 x 10^6 km 2 with \sim 0.5 g/cm 2 of fuel burned, and an emission factor of 0.035 g/g.

Long-term fires burn 3×10^9 g of fuel with an emission factor of 0.05 g/g.

Fire Plume Heights

Firestorms (10% of urban fires):

$$z = 1.5 (4A_f/\pi)^{\frac{1}{2}}$$
; $z_b = z_t/3$ (km)

$$z_{t} \leq z_{T} + 0.2$$
 ($z_{t} - z_{T} - 2$); $z_{T} = tropopause height$

z_b < 5 km

Wildfires: 1-5 km

Long-term fires: 0-2 km

Fire Duration

Urban fires, 1 day; wildfires, 10 days; long-term fires, 30 days.

Smoke Properties

Density, 2.0 g/cm³; complex index of refraction, 1.75-0,3 i; size, distribution, log-normal with $r_m(\mu m)/\sigma$ = 0.1/2.0 for urban fires and 0.05/2.0 for wildfires and long-term fires.

Baseline Smoke Injections

Total soot emission $= 4.5 \times 10^8$ tons, 5% in the stratosphere.

Total ash emission = 4.8×10^7 tons, 5% in the stratosphere.

Urban/suburban fires account for 50% of emissions, firestorms for 7%, wildfires for 40%, and long-term fires for 3%.

Total area burned by urban/surburban fires is 4.6 x 10^5 km²; firestorms, 2.5 x 10^4 km²; and wildfires, 1.0 x 10^6 km².

